

Docket No.: 043888-0267



PATENT

*JDW*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
Tsutomu OHZUKU, et al.	:	Confirmation Number: 9492
Application No.: 10/629,815	:	Group Art Unit: 1745
Filed: July 30, 2003	:	Examiner: LEE, CYNTHIA K

For: POSITIVE ELECTRODE ACTIVE MATERIAL AND NON-AQUEOUS ELECTROLYTE  
SECONDARY BATTERY CONTAINING THE SAME

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.

**REQUEST TO CONSIDER REFERENCES AFTER CLOSE OF PROSECUTION AND  
BEFORE PAYMENT OF ISSUE FEE**

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the

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"References Cited" on any patent to issue therefrom. Please charge the processing fee under 1.17(p) of \$180.00 to Deposit Account .

CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement as described in 37 CFR 1.97(e)(1).

The references were cited in a corresponding Japanese Office Action and their relevance are discussed therein. A copy of the Japanese Office Action is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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**Date: July 12, 2007**

**Please recognize our Customer No. 20277  
as our correspondence address.**



SHEET 1 OF 1

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.  
**043888-0267**

SERIAL NO.  
**10/629,815**

APPLICANT  
**Tsutomu OHZUKU, et al.**

FILING DATE  
**July 30, 2003**

GROUP  
**1745**

## U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US US 6,753,111 B2	06-22-2004	KWEON ET AL.	Corresponds w/ JP 2002-158011
		US			
		US			
		US			
		US			
		US			
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		US			
		US			
		US			

## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number & -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation Yes No
		JP 2004-002141	01-08-2004	TOSOH CORP		JAPAN (w/English Translation)
		JP 2001-332261	11-30-2001	TOYOTA MOTOR CORP		JAPAN (w/English Translation)
		JP 2002-100358	04-05-2002	SEIMI CHEM CO LTD		JAPAN (w/English Translation)
		JP 2002-158011	05-31-2002	SAMSUNG SDI CO LTD	Corresponds w/ US 6,753,111 B2	JAPAN (w/English Translation)

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Japanese Office Action issued in Japanese Patent Application No. JP 2003-281871, mailed April 12, 2007.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.